

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO

UNITED STATES OF AMERICA,

Plaintiff,

v.

[48] ANA C. CARRIÓN-CRUZ,

Defendant.

CRIMINAL: 12-192 (CCC)

MAGISTRATE JUDGE'S REPORT AND RECOMMENDATION  
RE: RULE 11 PROCEEDINGS (PLEA OF GUILTY)

**I. Procedural Background**

On September 27, 2012, a grand jury returned a superseding indictment against [48] Ana C. Carrión-Cruz (hereinafter referred to as “defendant”) and other individuals. ECF No. 124. The defendant has agreed to plead guilty to count three of the superseding indictment. Count three charges that beginning on a date unknown, but no later than in or about the year 2003, and continuing up to and until the return of the superseding indictment, in the Municipality of Fajardo, in the District of Puerto Rico, and elsewhere within the jurisdiction of this court, Ana C. Carrión-Cruz did knowingly and intentionally, combine, conspire, and agree with others, known and unknown to the grand jury, to commit an offense against the United States, that is, to possess with intent to distribute one (1) kilogram or more of a mixture or substance containing a detectable amount of heroin, a Schedule I Narcotic Drug Controlled Substance; two-hundred and eighty (280) grams or more of a mixture or substance containing a detectable amount of cocaine base (“crack”), a Schedule II Narcotic Drug Controlled Substance; five (5) kilograms or more of a mixture or substance containing a detectable amount of cocaine, a Schedule II Narcotic Drug Controlled Substance; and a measurable amount of a mixture or substance containing a detectable amount of marijuana, a Schedule I Controlled Substance, all within one thousand (1,000) feet of the real property comprising a housing facility owned by a public housing authority, that is, the Santiago Veve-Calzada Public Housing Project, Puerto Real Public Housing

Project, Villa Fajardo Public Housing Project, Mansion del Sapo Ward, Maternillo Ward, Quebrada Vueltas Ward, Amparo Street also known as Calle Chiquita and other areas within and near the Municipality of Fajardo, Puerto Rico, all in violation of Title 21, United States Code, §§ 846, 841(a)(1), and 860.

## **II. Consent to Proceed Before a Magistrate Judge**

On February 4, 2014, while assisted by counsel, the defendant, by consent, appeared before the undersigned in order to change her previous not guilty plea to a plea of guilty as to count three of the superseding indictment. In open court the defendant was questioned as to the purpose of the hearing being held and was advised of: (a) the nature and purpose of the hearing; (b) the fact that all inquiries were to be conducted under oath and that it was expected that her answers would be truthful; (c) the potential consequences of lying under oath (such as a perjury charge); and (d) her right to have the change of plea proceedings presided by a district judge instead of a magistrate judge. The defendant was also explained the differences between the appointment and functions of the two. The defendant consented to proceed before the undersigned magistrate judge.

## **III. Proceedings Under Rule 11, Federal Rules of Criminal Procedure**

### **A. Rule 11(c)(1) Requirements**

Rule 11 of the Federal Rules of Criminal Procedure governs the acceptance of guilty pleas to federal criminal violations. Pursuant to Rule 11, in order for a plea of guilty to constitute a valid waiver of the defendant's right to trial, guilty pleas must be knowing and voluntary: "Rule 11 was intended to ensure that a defendant who pleads guilty does so with an 'understanding of the nature of the charge and consequences of his plea.'" United States v. Cotal-Crespo, 47 F.3d 1, 4 (1<sup>st</sup> Cir. 1995) (quoting McCarthy v. United States, 394 U.S. 459, 467 (1969)). [There are three core concerns in these proceedings]: 1) absence of coercion; 2) understanding of the charges; and 3) knowledge of the consequences of the guilty plea. United States v. Cotal-Crespo, 47 F.3d at 4 (citing United States v. Allard, 926 F.2d 1237, 1244-45 (1<sup>st</sup> Cir. 1991)). United States v. Hernández-Wilson, 186 F.3d 1, 5 (1<sup>st</sup> Cir. 1999).

### **B. Admonishment of Constitutional Rights**

To assure defendant's understanding and awareness of her rights, defendant was advised of her right:

1  
2 1. To remain silent at trial and be presumed innocent, since it is the government who has the  
3 burden of proving her guilt beyond a reasonable doubt.

4 2. To testify or not to testify at trial, and that no adverse inference could be made in relation  
5 to her decision not to testify.

6 3. To a speedy trial before a district judge and a jury, at which she would be entitled to see  
7 and cross examine the government witnesses, present evidence on her behalf, and challenge the  
8 government's evidence.

9 4. To have a unanimous verdict rendered by a jury of twelve persons which would have to be  
10 convinced of defendant's guilt beyond a reasonable doubt by means of admissible evidence.

11 5. To use the subpoena power of the court to compel the attendance of witnesses.

12 Upon listening to the defendant's responses, observing her demeanor and her speaking with her  
13 attorney, that to the best of counsel's belief defendant had fully understood her rights, it is determined  
14 that defendant is aware of her constitutional rights.

15 **C. Consequences of Pleading Guilty**

16 Upon advising defendant of her constitutional rights, she was further advised of the  
17 consequences of pleading guilty. Specifically, defendant was advised that by pleading guilty and upon  
18 having her guilty plea accepted by the court, she will be giving up the above rights and will be convicted  
19 solely on her statement that she is guilty.

20 In response to further questioning, defendant was explained and she understood that if convicted  
21 on count three as charged she will face the following penalties: a term of incarceration of not less than  
22 ten (10) years or more than twice life imprisonment, a fine not to exceed twenty million dollars  
23 (\$20,000,000), and a term of supervised release of at least ten (10) years. However, if the court accepts  
24 the defendant's guilty plea and if the court accepts the amount of narcotics stipulated by the parties in  
25 the plea agreement, that is at least five hundred (500) grams but less than two (2) kilograms of cocaine,  
26 the penalty for the offense shall be the following: a term of imprisonment of not less than five (5) years  
27  
28

and not more than eighty (80) years, a fine not to exceed ten million dollars (\$10,000,000), and a term of supervised release of at least eight (8) years.

The defendant was explained what the supervised release term means and was also made aware that the court must impose a mandatory penalty assessment of one hundred dollars (\$100) per offense pursuant Title 18, United States Code, Section 3013(a).

The defendant was advised that the ultimate sentence was a matter solely for the court to decide in its discretion and that, even if the maximum imprisonment term and fine were to be imposed upon her, she later could not withdraw her guilty plea for that reason alone. Furthermore, the defendant was admonished of the fact that by pleading guilty she would not be allowed later on to withdraw her plea because she eventually might disagree with the sentence imposed, and that if she violates the conditions of supervised release, that privilege could be revoked and she could be required to serve an additional term of imprisonment. She was also explained that parole has been abolished. The defendant understood this.

#### **D. Plea Agreement<sup>1</sup>**

The parties have entered into a written plea agreement that, upon being signed by the government, defense attorney and defendant, was filed and made part of the record. Defendant was clearly warned and recognized having understood that:

1. The plea agreement is not binding upon the sentencing court.
2. The plea agreement is an agreement between the defendant, defense counsel and the attorney for the government which is presented as a recommendation to the court in regards to the applicable sentencing adjustments and guidelines, which are advisory.
3. The agreement provides a sentencing recommendation and/or anticipated sentencing guideline computation, that can be either accepted or rejected by the sentencing court.

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<sup>1</sup> "Plea agreement" refers to the agreement and its supplement.

4. In spite of the plea agreement and any sentencing recommendation contained therein, the sentencing court retains full discretion to reject such plea agreement and impose any sentence up to the maximum possible penalty prescribed by statute.

Defendant acknowledged having understood this explanation.

#### **E. Government's Evidence (Basis in Fact)**

The government presented a proffer of its evidence consistent with the version of facts of the plea agreement with which the defendant concurred. Accordingly, it is determined that there is a basis in fact and evidence to establish all the elements of the offense charged.

#### **F. Voluntariness**

The defendant accepted that no threats had been made to induce her to plead guilty and that she did not feel pressured to plead guilty.

#### **G. Waiver of Appeal**

The defendant was explained, and she understood, that if the court accepts the plea agreement and sentences her according to its terms and conditions, she will be surrendering her right to appeal the sentence and judgment in this case.

### **IV. Conclusion**

The defendant, by consent, has appeared before me pursuant to Rule 11, Federal Rules of Criminal Procedure, and has entered a plea of guilty as to count three of the superseding indictment. After cautioning and examining the defendant under oath and in open court, concerning each of the subject matters mentioned in Rule 11, as described in the preceding sections, I find that defendant Ana C. Carrión-Cruz is competent to enter this guilty plea, is aware of the nature of the offense charged and the maximum statutory penalties that the same carries, understands that the charge is supported by the government's evidence, has admitted to every element of the offense charged, and has done so in an intelligent and voluntary manner with full knowledge of the consequences of her guilty plea. Therefore, I recommend that the court accept the guilty plea of the defendant and that the defendant be adjudged guilty as to count three of the superseding indictment.

This report and recommendation is filed pursuant to 28 U.S.C. § 636(b)(1)(B). Any objections to the same must be specific and must be filed with the Clerk of Court within fourteen (14) days of its receipt. Fed. R. Civ. P. 72(b). Failure to timely file specific objections to the report and recommendation is a waiver of the right to review by the district court. United States v. Valencia-Copete, 792 F.2d 4 (1<sup>st</sup> Cir. 1986).

SO RECOMMENDED.

At San Juan, Puerto Rico, this 10<sup>th</sup> day of February, 2014.

s/Marcos E. López  
U.S. MAGISTRATE JUDGE